

29.08.23  
Ffôn: (07936) 930569

29-Aug-23  
Tel: (07936) 930569

Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

**Par: Pwyllgor Archwilio a Risg**

**Re: Audit & Risk Committee**

**DYDD MERCHER 19.10.22 am 11:00**

**WEDNESDAY, 19.10.22 at 11:00**

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

Please find overleaf the agenda for a meeting of the Audit & Risk Committee which has been arranged on the above date and time, to take place via Zoom.

Byddwn yn ddiolchgar pe baech yn gadael i mi wybod cyngynted â phosib os fyddwch yn methu mynychu.

I would be grateful if you would let me know as soon as possible if you will be unable to attend.

Yn gywir,

Yours sincerely,



Toby G. Prosser, YH/JP

Cyfarwyddwr, Llywodraethu a Gwybodaeth  
Director, Governance & Information

[t.prosser@gllm.ac.uk](mailto:t.prosser@gllm.ac.uk)

Aelodaeth / Membership:

- a. Andy Billcliff
- b. Peter Lavin
- c. Marion Pryor
- d. Chris Morgans

**GORCHYMYN RHEDEG:**

**RUNNING ORDER:**

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD: PRESENTER:
a	Ethol Cadeirydd a Dirprwy 2022-23	Election of Chair and Deputy Chair	Toby Prosser
b	Ymddiheuriadau	Apologies	Toby Prosser
c	Datganiadau o Ddiddordeb	Declarations of Interest	All
d	Adolygiad o'r Cylch Gorchwyl	Review of Terms of Reference	Toby Prosser
e	Rhestr Wirio TOR 2021-22	2021-22 TOR Checklist	Toby Prosser
f	Cofnodion ARC 15.06.21	ARC Minutes 15.06.21	Toby Prosser
g	Materion yn Codi	Matters Arising	All
2	CYLLID:	FINANCE:	
a	Alldro Dangosol Diwedd Blwyddyn 2021-22	Indicative Year-End Outturn 2021-22	Matt Nash
3	RISG:	RISK:	
a	Adolygiad Risg Blynnyddol 2021-22	Annual Risk Review 2021-22	Dafydd Evans
b	Map Gwres	Heat Map	
c	Risgiau Strategol	Strategic Risks	
4	POLISIESAU:	POLICIES:	
a	Rheoli'r Trysorlys - (gweler 08a am yr adroddiad)	Treasury Management (see 08a for report)	Sharon Bowker
5	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Adroddiad Argymhellion Treigl	Rolling Recommendations Report	Sharon Bowker
b	Adroddiad Blynnyddol 2021-22	Annual Report 2021-22	Helen Cargill
c	Adroddiad Cynnydd SICA	SICA Progress Report	
d	Adroddiad Dilydol TIAA 2021-2	TIAA Follow Up Report 2021-2	
e	Seiberddiogelwch	Cyber Security	
f	Rheoli Ystad	Estate Management	
g	Adolygiad Safle – Pwllheli	Site Review – Pwllheli	
h	Adolygiad Safle – Llangefni	Site Review – Llangefni	
6	ARCHWILIO ALLANOL:	EXTERNAL AUDITS:	
a	Archwiliad Pensiwn Athrawon 2021-22	Teacher's Pension Audit 2021-22	Dale Thorpe

**ER GWYBODAETH:**

**FOR INFORMATION:**

7	FINANCE	FINANCE	
	<i>Eitemau a gyflwynwyd eisoes i'r Pwyllgor Cyllid ac Adnoddau:</i>	<i>Items already presented to Finance &amp; Resources Committee:</i>	
a	Cyllideb Gryno 2022-23	Summary Budget 2022-23	Sharon Bowker
8	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	
a	Rheoli'r Trysorlys	Treasury Management	Sharon Bowker
b	Polisiau Yswiriant 2022-23	Insurance Policies 2022-23	
9	DYDDIADAU:	DATES:	
	07.12.22 – 11:00	07.12.22 – 11:00	
	29.03.23 – 11:00	29.03.21 – 11:00	
	21.06.23 – 11:00	21.06.21 – 11:00	

29.08.23  
Ffôn: (07936) 930569

01.12.22  
Tel: (07936) 930569

Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

**Par: Pwyllgor Archwilio a Risg**

**Re: Audit & Risk Committee**

**DYDD MERCHER 07.12.22 am 11:00**

**WEDNESDAY, 07.12.22 at 11:00**

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

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- c. Marion Pryor
- d. Chris Morgans

Cc:

Dafydd Evans  
Sharon Bowker  
Matt Nash  
Alison Evans

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD: PRESENTER:
a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	Cylch Gorchwyl Diwygiedig (gan gynnwys risg)	Revised Terms of Reference (including risk)	Toby Prosser
d	Cofnodion ARC 19.10.22	ARC Minutes 19.10.22	Chair
e	Materion yn Codi	Matters Arising	Chair
2	CYLLID:	FINANCE:	
a	Cyfrifon Blynyddol y Grŵp 21-22	Grŵp Annual Accounts 21-22	Matt Nash
b	Cyfrifon Hyfforddiant Gogledd Cymru 21-22	North Wales Training Accounts 21-22	Matt Nash
c	Adroddiad Blynyddol ARC i'r Bwrdd 2021-22	ARC Annual Report to Board 2021-22	Toby Prosser
3	ARCHWILIO ALLANOL:	EXTERNAL AUDITS:	
a	Adroddiad Archwilio Allanol i'r rhai sy'n gyfrifol am Lywodraethu (ISA 260)	External Audit Report to those charged with Governance (ISA 260)	Dale Thorpe
b	Llythyr Cynrychiolaeth Drafft	Draft Letter of Representation	Dale Thorpe
c	Llythyr Ymrwymiad	Engagement Letter	Dale Thorpe
d	Adroddiad LLWR (Rhifau Myfyrwyr).	LLWR (Student Numbers) Report	Dale Thorpe
4	RISG:	RISK:	
a	Adolygiad Risg Blynyddol 2021-22	Annual Risk Report 2021-22	Dafydd Evans
b	Map Gwres	Heat Map	
c	Risgiau Strategol	Strategic Risks	
5	POLISIESAU:	POLICIES:	
a	Rheoli Risg	Risk Management	Matt Nash
b	Gwrth-Lwgrwobrwyo	Anti-Bribery	Matt Nash
c	Gwrth Dwyll a Chynllun Ymateb	Counter Fraud & Response Plan	Matt Nash
d	Polisi Chwythu'r Chwiban	Whistleblowing Policy	Toby Prosser
6	CYFLWYNIAD:	PRESENTATION:	
	Plymio'n Ddwfn - Seiber Ddiogelu - Jisc	Deep Dive – Cyber Protection - Jisc	Sharon Bowker
7	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion TreiglAdroddiad	Rolling Recommendations Tracker	Sharon Bowker
b	Cynnydd SICA:	SICA Progress Report:	Helen Cargill
c	GDPR / Rhyddid Gwybodaeth (FOI)	GDPR / Freedom of Information (FOI)	Helen Cargill
8	LLYWODRAETHU:	GOVERNANCE:	
	Adroddiad Blynyddol y DGI 2021-22	DGI's Annual Report 2021-22	Toby Prosser

**ER GWYBODAETH:**

**FOR INFORMATION:**

9	FINANCE	FINANCE	
	<i>Eitemau a gyflwynwyd eisoes i'r Pwyllgor Cyllid ac Adnoddau:</i>	<i>Items already presented to Finance &amp; Resources Committee:</i>	
a	Cyfrifon Rheoli hyd at fis Hydref	Management Accounts to October	Matt Nash
b	01.12.22 – Cofnodion PCA	01.12.22 – FRC Minutes	Toby Prosser
10	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	
	I'w hysbysu gan archwilwyr	To be informed by auditors	
11	DYDDIADAU:	DATES:	
	29.03.23 – 11:00	29.03.21 – 11:00	
	21.06.23 – 11:00	21.06.21 – 11:00	

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Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

**Par: Pwyllgor Archwilio a Risg**

**Re: Audit & Risk Committee**

**DYDD MERCHER 29.03.23 am 11:00**

**WEDNESDAY, 29.03.23 at 11:00**

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- d. Chris Morgans

Cc:

Dafydd Evans  
Sharon Bowker  
Matt Nash  
Alison Evans

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD: PRESENTER:
a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	07.12.22 Cofnodion ARC	07.12.22 ARC Minutes	Chair
d	Materion yn Codi: Diogelwch TGCh Diweddariad Llafar	Matters Arising: ICT Security Verbal Update	Chair Sharon Bowker
2	CYLLID:	FINANCE:	
	Cyfrifon Rheoli a Rhagolygon – (Ionawr 22)	Management Accounts & Forecast – (Jan 22)	Matt Nash
3	RISG:	RISK:	
a	Map Gwres	Heat Map	Dafydd Evans
b	Risgiau Strategol	Strategic Risks	Dafydd Evans
4	POLISIESAU:	POLICIES:	
a	Teithio a Chynhaliaeth	Travel and Subsistence	Sharon Bowker
5	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion Treigl	Rolling Recommendations Tracker	Sharon Bowker
b	Adroddiad Cynnydd SICA:	SICA Progress Report:	Helen Cargill
	1. Systemau a Phrosesau Data AU	1. HE Data Systems and Processes	Helen Cargill
	2. Llety Myfyrwyr	2. Student Accommodation	Helen Cargill
	3. Rhestrau Asedau	3. Asset Inventories	Helen Cargill
	4. Cynllunio Cwricwlwm ac Amserlennu	4. Curriculum Planning and Timetabling	Helen Cargill
6	ARCHWILIO ALLANOL:	EXTERNAL AUDITS:	
a	Dim adroddiad	No report	Dale Thorpe

**ER GWYBODAETH:**

**FOR INFORMATION:**

7	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	
	I'w hysbysu gan archwilwyr	To be informed by auditors	
8	DYDDIADAU:	DATES:	
	29.03.23 – 11:00	29.03.21 – 11:00	Chair
	21.06.23 – 11:00	21.06.21 – 11:00	

Heb archwilwyr yn bresennol - Without auditors present:

9	AUDIT SERVICES:	AUDIT SERVICES:	
a	2024 – 27 Trefniadau Tendro	2024 – 27 Tendering Arrangements	Matt Nash

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Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

**Par: Pwyllgor Archwilio a Risg**

**Re: Audit & Risk Committee**

**DYDD MERCHER 21.06.23 am 11:00**

**WEDNESDAY, 21.06.23 at 11:00**

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

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Cc:

Dafydd Evans  
Sharon Bowker  
Matt Nash

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a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	29.03.23 Cofnodion ARC	29.03.23 ARC Minutes	Chair
d	Materion yn Codi:	Matters Arising:	Chair
2	CYLLID:	FINANCE:	
a	Cyfrifon Rheoli hyd at Ebrill (T9)	Management Accounts to April (P9)	Matt Nash
b	Cyllideb Ddrafft 2023-24	Draft Budget 2023-24	Matt Nash
3	RISG:	RISK:	
a	Map Gwres	Heat Map	Dafydd Evans
b	Risgiau Strategol	Strategic Risks	Dafydd Evans
4	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion Treigl	Rolling Recommendations Tracker	Sharon Bowker
b1	Adroddiad Cynnydd SICA:	SICA Progress Report:	Steen Gourlay
b2	Safonau'r Gymraeg	Welsh Language Standards	Steen Gourlay
b3	Busnes@ Adolygiad Safle Abergele	Busnes@ Site Review Abergele	Steen Gourlay
b4	Busnes@ Adolygiad Safle Glynllifon	Busnes@ Site Review Glynllifon	Steen Gourlay
b5	Busnes@ Adolygiad Safle Llwyn Brain	Busnes@ Site Review Llwyn Brain	Steen Gourlay
b6	Rheoli Arian Parod, Banc a Thrysorlys	Cash, Bank & Treasury Management	Steen Gourlay
b7	Incwm	Income	Steen Gourlay
b8	Adolygiad Dilynol 2022-2	Follow Up Review 2022-2	Steen Gourlay
b9	Rheoli Risg – Rheolaethau Lliniaru	Risk Management – Mitigating Controls	Steen Gourlay
b10	Adroddiad Blynnyddol	Annual Report	Steen Gourlay
b11	Cyfriflyfr Cyffredinol – i ddilyn	General Ledger – to follow	Steen Gourlay
b12	Prosiectau Cyfalaf – i ddilyn	Capital Projects – to follow	Steen Gourlay
b13	Recriwtio Staff – i ddilyn	Staff Recruitment – to follow	Steen Gourlay
5	ARCHWILIO ALLANOL:	EXTERNAL AUDITS:	
a	Dim adroddiad	No report	
6	POLISIESAU:	POLICIES:	
a	Datganiad o Bolisiau Cyfrifyddu 2023	Statement of Accounting Policies 2023	Sharon Bowker

**ER GWYBODAETH:**
**FOR INFORMATION:**

7	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	CYFLWYNYDD: PRESENTER:
	I'w hysbysu gan archwilwyr	To be informed by auditors	
8	DYDDIADAU:	DATES:	
	13.09.23 06.12.23 17.04.24 19.06.24	13.09.23 at 11:00 06.12.23 17.04.24 19.06.24	Chair

Heb archwilwyr yn bresennol - Without auditors present:

9	AUDIT SERVICES:	AUDIT SERVICES:	
a	2024 – 27 Audit Services	2024 – 27 Audit Services	Matt Nash