

15/08/24
Ffôn: (07936) 930569

15/08/24
Tel: (07936) 930569

Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

Par: Pwyllgor Archwilio a Risg

Re: Audit & Risk Committee

DYDD MERCHER 13.09.23 am 11:00

WEDNESDAY, 13.09.23 at 11:00

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

Please find overleaf the agenda for a meeting of the Audit & Risk Committee which has been arranged on the above date and time, to take place via Zoom.

Byddwn yn ddiolchgar pe baech yn gadael i mi wybod cyngynted â phosib os fyddwch yn methu mynychu.

I would be grateful if you would let me know as soon as possible if you will be unable to attend.

Yn gywir,

Yours sincerely,



Toby G. Prosser, YH/JP

Cyfarwyddwr, Llywodraethu a Gwybodaeth
Director, Governance & Information

t.prosser@gllm.ac.uk

Aelodaeth / Membership:

- a. Andy Billcliff
- b. Peter Lavin
- c. Marion Pryor
- d. Chris Morgans

Cc:

Dafydd Evans
Sharon Bowker
Matt Nash

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD: PRESENTER:
a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	Cylch Gorchwyl 2023-2024	Terms of Reference 2023-2024	Director of Gov
d	2022-3 Gwiriad Cylch Gorchwyl	2022-3 Terms of Reference Check	Director of Gov
e	29.03.23 Cofnodion ARC	21.06.23 ARC Minutes	Chair
f	Materion yn Codi:	Matters Arising	Chair
2	CYLLID:	FINANCE:	
	Dim addrodiadau	No reports	
3	RISG:	RISK:	
a	Cyflwyniad i system "4Risk" newydd:	Introduction to new "4Risk" system:	Dafydd Evans
b	Map Gwres	Heat Map	
c	Cofrestr Risg	Risk Register	
	Matrics Risg	Risk Matrix	
4	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion Treigl	Rolling Recommendations Tracker	Sharon Bowker
b	Cynllun Archwilio Mewnol 2023-24	Internal Audit Plan 2023-24	Steen Gourlay
c	Adroddiad Archwilio Mewnol Blynyddol 2022-23	Annual Internal Audit Report 2022-23	Steen Gourlay
d	Cyfriflyfr Cyffredinol – O'r cyfarfod blaenorol	General Ledger – From previous meeting	Steen Gourlay
e	Prosiectau Cyfalaf – O'r cyfarfod blaenorol	Capital Projects – From previous meeting	Steen Gourlay
f	Recriwtio Staff – O'r cyfarfod blaenorol	Staff Recruitment – From previous meeting	Steen Gourlay
5	ARCHWILIO ALLANOL:	EXTERNAL AUDITS:	
a	Dim adroddiad	External Audit Plan 2022-23	Dale Thorpe
6	POLISIESAU:	POLICIES:	
a	Dim	None	

ER GWYBODAETH:
FOR INFORMATION:

7	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	CYFLWYNYDD: PRESENTER:
	I'w hysbysu gan archwilwyr	To be informed by auditors	None
8	DYDDIADAU:	DATES:	
	am 11:00	at 11:00	Chair
	06.12.23	06.12.23	
	17.04.24	17.04.24	
	19.06.24	19.06.24	

15.08.24
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09.11.23
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Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

Par: Pwyllgor Archwilio a Risg

Re: Audit & Risk Committee

DYDD MERCHER 06.12.23 am 11:00

WEDNESDAY, 06.12.23 at 11:00

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

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- d. Chris Morgans

Cc:

Dafydd Evans
Sharon Bowker
Matt Nash
Alison Evans

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD:
a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	Cofnodion ARC 13.09.23	ARC Minutes 13.09.23	Toby Prosser
d	Materion yn Codi	Matters Arising	Chair
2	CYLLID:	FINANCE:	
a	Cyfrifon Blynyddol y Grŵp 22-23	Grŵp Annual Accounts 22-23	Matt Nash
b	Cyfrifon NWT COPA® 22-23	North Wales Training COPA® Accounts 22-23	Matt Nash
c	Adroddiad Blynyddol ARC i'r Bwrdd 2022-23	ARC Annual Report to Board 2022-23	Toby Prosser
3	ARCHWILIO ALLANOL:	EXTERNAL AUDITS:	
a	2022-23 Adroddiad Canfyddiadau Archwilio Allanol	2022-23 External Audit Findings Report	Dale Thorpe
b	Llythyr Cynrychiolaeth Drafft	Draft Letter of Representation	Dale Thorpe
c	Llythur LLWR (Rhifau Myfyrwyr)	LLWR (Student Numbers) Letter	Dale Thorpe
4	RISG:	RISK:	
a	Adolygiad Risg Blynyddol 2022-23	Annual Risk Review 2022-23	Dafydd Evans
b	Map Gwres	Heat Map	Dafydd Evans
c	Symudiadau Risg	Risk Movements	Dafydd Evans
d	Cofrestr Risg Strategol	Strategic Risk Register	Dafydd Evans
5	POLISIESAU:	POLICIES:	
a	2023-24 Cynllun Diswyddo'r Grŵp	2023-24 Grŵp Redundancy Scheme	Dafydd Evans
6	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion Treigl	Rolling Recommendations Tracker	Sharon Bowker
b	Sicrwydd Rheolaeth Fewnol Cryno (SICA)	Summary Internal Controls Assurance (SICA)	Helen Cargill
7	LLYWODRAETHU:	GOVERNANCE:	
	Adroddiad Blynyddol y DGI 2021-22	DGI's Annual Report 2022-23	Toby Prosser

ER GWYBODAETH:
FOR INFORMATION:

8	FINANCE	FINANCE	
a	Cyfrifon Rheoli hyd at fis Hydref	Management Accounts to October	Matt Nash
b	04.12.23 – Cofnodion FRC i ddilyn	04.12.23 – FRC Minutes to follow	Chair
9	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	
	Dim	None	
10	DYDDIADAU:	DATES:	
	17.04.24 – 11:00	17.04.24 – 11:00	
	19.06.24 – 11:00	19.06.24 – 11:00	

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Annwyl Aelod o'r Pwyllgor,

Dear Committee Member,

Par: Pwyllgor Archwilio a Risg

Re: Audit & Risk Committee

DYDD MERCHER 17.04.24 am 11:00

WEDNESDAY, 17.04.24 at 11:00

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

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- c. Marion Pryor
- d. Chris Morgans
- e. Vicky Painter

Cc:

Dafydd Evans
Sharon Bowker
Matt Nash
Helen Cargill – TIAA (Internal Auditor)
Steen Gourlay - TIAA (Internal Auditor)
Karen Musgrave – RSM (External Auditor)

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD:
a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	Cofnodion ARC 06.12.23	ARC Minutes 06.12.23	Toby Prosser
d	Materion yn Codi	Matters Arising	Chair
2	CYLLID:	FINANCE:	
a	Cyfrifon Rheoli hyd at Chwefror '24	Management Accounts to Feb '24	Matt Nash
3	RISG:	RISK:	
a	Map Gwres	Heat Map	Dafydd Evans
b	Cofrestr Risg Strategol	Strategic Risk Register	Dafydd Evans
4	POLISIESAU:	POLICIES:	
a	Rheoli Risg	Risk Management	Sharon Bowker
5	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion Treigl	Rolling Recommendations Tracker	Sharon Bowker
b	Sicrwydd Rheolaeth Fewnol Cryno (SICA)	Summary Internal Controls Assurance (SICA)	Steen Gourlay
c	Adroddiad Archwilio 1	Recruitment Strategy	Steen Gourlay
d	Adroddiad Archwilio 2	HE Data Systems	Steen Gourlay
6		EXTERNAL AUDIT:	
	Dim Adroddiad	No report	

ER GWYBODAETH:

FOR INFORMATION:

7	FINANCE	FINANCE	
a	11.04.24 – Cofnodion FRC	11.04.24 – FRC Minutes	Sharon Bowker
8	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	
	Dim	None	
9	DYDDIADAU:	DATES:	
	19.06.24 – 11:00	19.06.24 – 11:00	

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Dear Committee Member,

Par: Pwyllgor Archwilio a Risg

Re: Audit & Risk Committee

DYDD MERCHER 19.06.24 am 11:00

WEDNESDAY, 19.06.24 at 11:00

Gweler drosodd agenda ar gyfer cyfarfod o'r Pwyllgor Archwilio a Risg sydd wedi ei drefnu ar y dyddiad a'r amser uchod. Fe'i cynhelir ar Zoom.

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Cc:

Dafydd Evans
Sharon Bowker
Matt Nash
Steen Gourlay - TIAA (Internal Auditor)
Karen Musgrave – RSM (External Auditor)

1	EITMAU SAFON:	STANDARD ITEMS:	CYFLWYNYDD:
a	Ymddiheuriadau am absenoldeb	Apologies for absence	Chair
b	Datganiadau o Ddiddordeb	Declarations of Interest	Chair
c	Cofnodion ARC 17.04.24	ARC Minutes 17.04.24	Toby Prosser
d	Ethol Cadeirydd 2024-25	Election of Chair 2024-25	Toby Prosser
e	Materion yn Codi	Matters Arising	Chair
2	CYLLID:	FINANCE:	
a	P9 Cyfrifon Rheoli hyd at Ebrill '24	P9 Management Accounts to April '24	Matt Nash
b	Rheolaethau Twyll Sefydliadol o fewn Rheoliadau Ariannol, Caffael, Cyllid a Risgiau Academaidd.	Institutional Fraud Controls within Financial Regulations, Procurement, Finance and Academic Risks.	Sharon Bowker
3	RISG:	RISK:	
a	Map Gwres	Heat Map	Dafydd Evans
b	Symudiadau Risg	Risk Movements	Dafydd Evans
c	Cofrestr Risg Strategol	Strategic Risk Register	Dafydd Evans
4	POLISIESAU:	POLICIES:	
a	Dim	None.	
5	ARCHWILIAD MEWNOL:	INTERNAL AUDIT:	
a	Traciwr Argymhellion Treigl	Rolling Recommendations Tracker	Sharon Bowker
b	Sicrwydd Rheolaeth Fewnol Cryno (SICA) I nodi Adroddiadau Drafft yn yr arfaeth:	Summary Internal Controls Assurance (SICA) To note Draft Reports pending:	
c	Taliadau i staff	Payments to staff	Steen Gourlay
d	Fferm	Farm	Steen Gourlay
e	Hyfforddiant ac Is-gwmnïau Gogledd Cymru	North Wales Training and Subsidiaries	Steen Gourlay
6	ARCHWILIO ALLANOL:	EXTERNAL AUDIT:	
	Cynllun Archwilio Allanol 2023-24	External Audit Plan 2023-24	

ER GWYBODAETH:
FOR INFORMATION:

7	FINANCE	FINANCE	
a	13.06.24 – Cofnodion FRC	13.06.24 – FRC Minutes	Sharon Bowker
8	ADRODDIADAU I'W NODYN:	REPORTS TO NOTE:	
	Dim	None	
9	DYDDIADAU:	DATES:	
	09.10.24 - 11:00	09.10.24 - 11:00	
	Iau, 05.12.24 - 16:00 i gael ei gadarnhau i'w gadarnhau, (+FRC ar gyfer Cyfrifon)	Thursday, 05.12.24 - 16:00 to be confirmed, (+FRC for Accounts)	
	26.03.25 - 11:00	26.03.25 - 11:00	
	11.06.25 - 11:00	11.06.25 - 11:00	